Future Networked Car Symposium 2021 UNECE & ITU

UN Regulation 156 Software Update & Software Updates Management System

Dr. Kai Frederik ZASTROW, Master Expert Regulation Certification Standards Chairman of OICA Technical Committee, CCFA / Stellantis











- > Objectives
- ➢ Requirements
- Application dates
- ≻Next steps

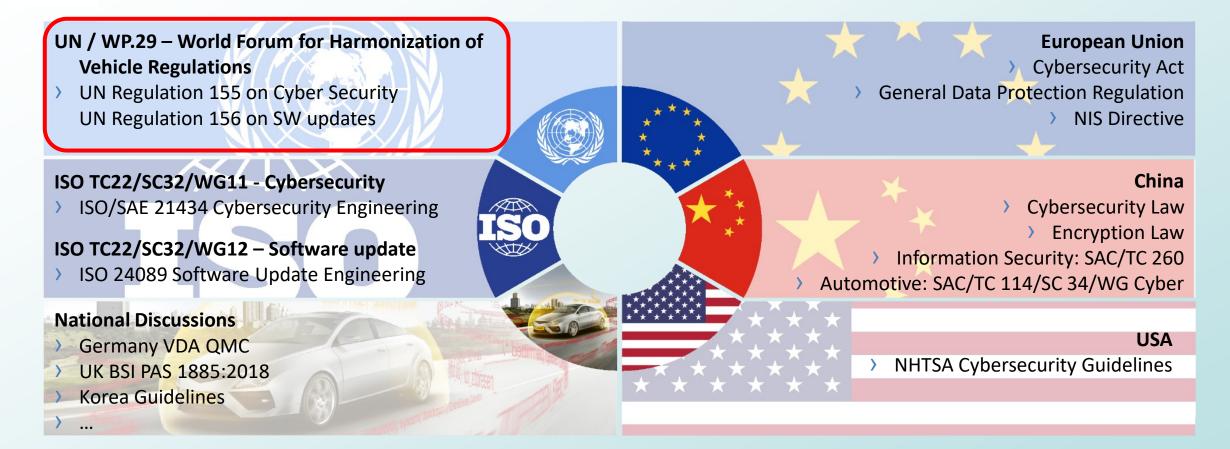


>Objectives

- ➢ Requirements
- Application dates
- ≻Next steps



Global Automotive Standards and Regulations to address Cybersecurity and SW updates



UN Regulations (adopted in June 2020) are a worldwide collaboration:

Developed under GRVA (chaired by Germany, Japan and China) / TF Cybersecurity & OTA issues (chaired by UK, Japan and USA).

Why a SW update regulation?



- Need for Software Updates
 - More and more vehicles are becoming connected vehicles
 - Requirement to update software on vehicles during the whole vehicle life
 - Vehicle manufacturers intend to make SW updates (incl. OTA Over The Air) in order to add new functions (e.g. ADAS) on already registered vehicles
- Objective of the regulation
 - Ensure that the SW on a vehicle is and stays compliant with vehicle type approval



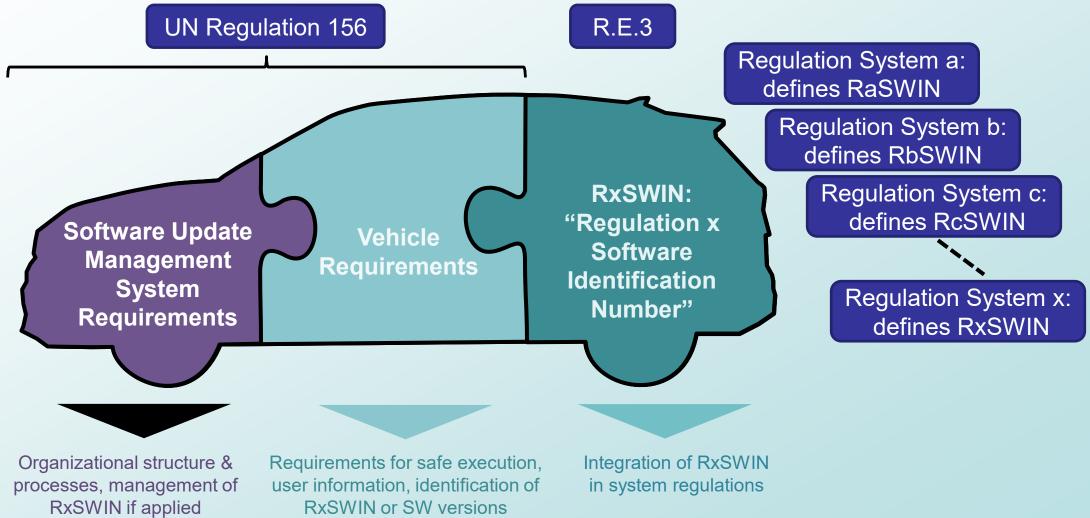
> Objectives

- ➢ Requirements
- Application dates
- ➢Next steps



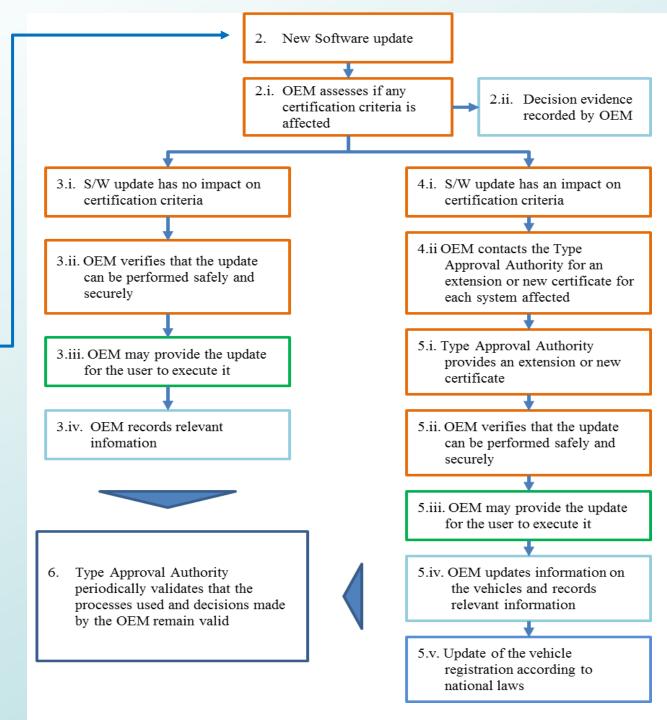
Rational of UN Regulation 156

Scope: "Vehicles that permit software updates."





- 1. Vehicle manufacturer (OEM) gains approval to conduct post-registration software updates, by gaining validation of their:
 - Configuration and quality control processes (paragraph 4.3)
 - Processes to ensure updates are executed safely (paragraph 5.2)
 - Processes to ensure software updates are cyber secure (paragraph 5.4)





Examples of requirements for SUMS (SW update management system)

The vehicle manufacturer shall **record and store**, specific information for each update applied to a given vehicle type:

- The **purpose** of the update
- What systems or functions of the vehicle the update may impact
- Whether the software update affects any of the relevant requirements of type approved system
- How the update may be executed and under which conditions
- Confirmation that the software update has undergone adequate verification and validation procedures.

Examples of requirements for the vehicle type* in case of OTA updates

- > The vehicle is **able to restore systems** to their previous version in case of a failed update
- Software updates can only be executed when the **vehicle has enough power** to complete the update
- > The vehicle user is able to be informed before the update on:
 - The purpose of the update
 - Any changes implemented by the update on vehicle functions
 - The expected time to complete the execution of the update
 - Any vehicle functionalities which may not be available during the execution of the update
 - Any instructions that may help the vehicle user safely execute the update
- > In the situation where the execution of an update **whilst driving** may not be safe:
 - Ensure the vehicle cannot be driven during the execution of the update
 - Ensure that the driver is not able to use any functionality of the vehicle that would affect the safety of the vehicle or the successful execution of the update.
- After the execution of an update:
 - The vehicle user is able to be informed of the success of the update

* "Vehicle type" covers all vehicles of a vehicle manufacturer using the same technical solution to provide software updates.

Principle of RxSWIN RxSWIN: "Regulation x Software Identification Number" is a dedicated identifier representing information about the software that is type approval relevant with regard to Regulation x. an Statute inter/retail 11:0735 Vehicle manufacturer informs the type approval authorities with the communication CARGERE 50,005 document that R79SWIN 0024 is authorized New SW available **Failurer** 1 by the put with impact on for post-registration updates drafter i mås å tartacht te ber ör skan statur. Minis pre n/m/res distante NTRACINIST compliance with R79 10.0700100 System type approval New system type approval Extension Vehicle owner may information document information document of type be informed that type R79SWIN 0023 R79SWIN 0024 approval approved SW update System type approval is available New system type approval certificate R79 certificate R79 00322 Ext 00 Vehicle owner wants 00322 Ext 01 444 gr **1** to update the vehicle Ð Vehicle is updated with secured UNDER MIL Information to Type process (including update of P Report of the local division of the local registration certificate if necessary) Approval Authority Legend: Authorities can check during Blue: type approval process **PTI** (Periodic Technical Inspection) whether software Green: post-registration process on the vehicle is authorized



- > Objectives
- ➢ Requirements
- Application dates
- ➢Next steps

Implementation of UN R155 and R156

- June 2020: Formal adoption by UN WP.29 of the two UN Regulations
- Jan 2021: Entry into force: legal act is available for application in UN Member States
- UN Member States (Contracting Parties of 1958 Agreement) may require those legal acts:
 - Japan (R155 Cybersecurity and R156 SW update mandatory)
 - 2020 for automated vehicles SAE level 3 or higher
 - July 2022* for new whole vehicle types & July 2024 for new registrations:
 - » Both UN Regulations, if <u>SU affecting type approval and OTA capability</u>
 - Jan 2024 for new whole vehicle types & May 2026 for new registrations:
 - » Both UN Regulations, if SU affecting type approval and no OTA capability
 - » Only UN Regulation 155 on cybersecurity: All other vehicles
 - European Union
 - R155 Cybersecurity is mandatory (via General Safety Regulation <u>EU 2019/2144</u>, Annex II, item D4):
 - 6 July 2022 for new whole vehicle types & 7 July 2024 for new registrations
 - Introduction timing for R156 SW update: under preparation

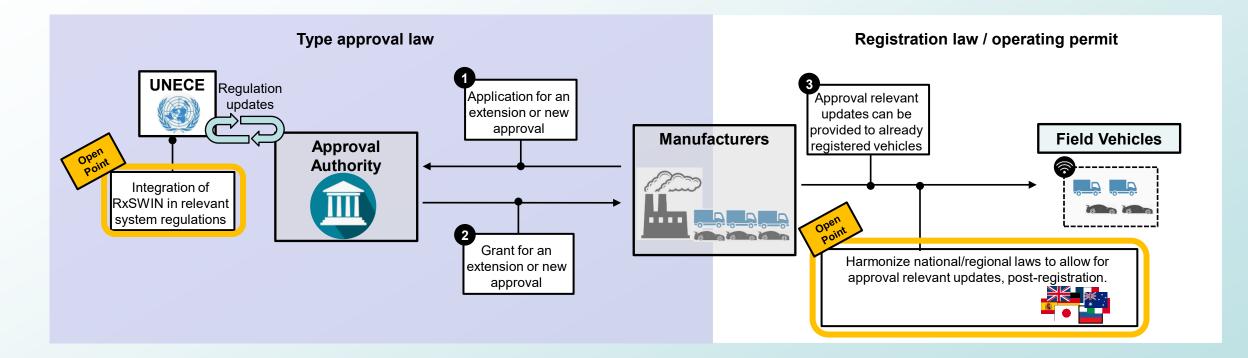
*: July 2023 for imported vehicles



- > Objectives
- ➢ Requirements
- Application dates
- ➢Next steps



Open points to be addressed



Need to clarify national/regional implementation dates:

- for R156 and
- for the open points mentioned above

Link to official UN documents

- UN Regulation 155 Cybersecurity <u>https://unece.org/transport/documents/2021/03/standards/un-regulation-no-155-cyber-security-and-cyber-security</u>
- Interpretation document on Cybersecurity <u>http://unece.org/sites/default/files/2020-12/ECE-TRANS-WP29-2021-059e.pdf</u>
- UN Regulation 156 SW update <u>https://unece.org/transport/documents/2021/03/standards/un-regulation-no-156-software-update-and-software-update</u>
- Interpretation document on SW update <u>http://unece.org/sites/default/files/2020-12/ECE-TRANS-WP29-2021-060e.pdf</u>
- UN Regulation 157 ALKS (see chapter 9 with link to UN Regulations 155 and 156 and Annex point 19) <u>https://unece.org/transport/documents/2021/03/standards/un-regulation-no-157-automated-lane-keeping-systems-alks</u>
- Consolidated Resolution on the Construction of Vehicles (R.E.3), Annex 7: Provisions on Software Identification Numbers (integration of RXSWIN in system regulations) <u>http://www.unece.org/fileadmin/DAM/trans/doc/2020/wp29/ECE-TRANS-WP29-2020-082e.pdf</u>
- The UN Task Force is drafting a set of technical requirements relevant for the 1998 Agreement Contracting Parties that have no type approval system (e.g. USA).







THANK YOU FOR YOUR INTEREST! MERCI DE VOTRE INTERET ! VIELEN DANK FÜR IHR INTERESSE! 谢谢